

Unique Security Identification - Global Custodian Perspective

			Function / Department (1)	Database / Positions (Holdings)	Reconciliation / Reporting	Pricing / Valuation (2)	Settlement
Concept	Factor	Attribute of	I.E.				
Currencies	Trading Currency	Security		X			X
	Holding Currency	Security		X	X	X	
	Restrictions / seasoning / ranking	Security		X			
Holding Details	Place of Holding	Security		X	X	X	X
	Clearing Agency Depository	Security		X	X	X	
	Exchange Listing	Security		X		X	X
	Incorporation	<i>(from IM perspective spreadsheet - unclear of definition)</i>					
	Issuer	Security		X	X		X
	Country of Issuer	Security		X			
	Country of Issue	Security		X	X	X	
	Issue Type	Security	FI, Equity, Warrant, etc	X	X	X	X
	Lot Size	Security					
	Unit / Par	Security		X	X	X	X (sub-custodians only)
	Mat / Demat	Security			X (sub-custodians only)		
Registration Status	Registered	Security	Bearer, Nominee, etc	X			X (depending on market)
	Local / Foreign	Security		X	X	X	X
	DR Designation	Security	ADR, GDR		X		X

Notes:

Trading is a business Custodians participate in, but we expect Ims and Brokers to cover this area complete
 Comments are imbedded in this spreadsheet within cells. These comments will not appear in a normal print

(1) Many Custodians break out departments by function

(2) Agreement with IM Perspective that no unique security may have more than one price, either in the same

Corporate Events	Regulatory Reporting	Risk Management for Clients (Compliance)	Risk Management (Internal)	Securities Lending
		X		
X	X	X	X	
X	X	X	X	X
X		X		X
X		X		X
X				X
X	X			
X				
X	X			
X				X
X (sub-custodians only)				
X				
X				X
X (depending on market)				
X	X			X
X		X		

enough to satisfy our requirements.
 nt of this sheet. They can be printed through print options.

one currency or multiple currencies at a single point in time